

**PATENT**  
**4003.002300**

## DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or the below named inventors are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **Estrogen Receptor Site-Specific Ribozymes and Uses Thereof for Estrogen Dependent Tumors**, the Specification of which:

☐

is attached hereto.

☒

was filed on **December 4, 2001** as Application Serial No. **10/009,420**.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim priority benefits under Title 35, United States Code, § 119 and/or § 365 of any foreign application(s) for patent or inventor's certificate, PCT international application(s), and United States provisional application(s), listed below and have also identified below any foreign application for patent or inventor's certificate, PCT international application, or United States provisional application, having a filing date before that of the application on which priority is claimed:

PRIORITY APPLICATION(S)			Priority Claimed
PCT/US00/15243	PCT	June 2, 2000	Yes
(Number)	(Country)	(Date Filed)	Yes/No
60/137,470	USA	June 4, 1999	Yes
(Number)	(Country)	(Date Filed)	Yes/No

I hereby claim the benefit under Title 35, United States Code, § 120 and/or § 365 of any United States application(s) and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56, which becomes available between the filing date of the prior application and the national or PCT international filing date of this application:

N/A

(Application Serial No.)	(Filing Date)	(Status)
(Application Serial No.)	(Filing Date)	(Status)

(Application Serial No.)	(Filing Date)	(Status)
--------------------------	---------------	----------

I hereby direct that all correspondence and telephone calls be addressed to Shelley P.M. Fussey, Williams, Morgan & Amerson, P.C., 7676 Hillmont, Suite 250, Houston, Texas 77040, (713) 934-7000.

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Full Name:	Arun	K.	Roy
Inventor's Signature:	<i>Arun K. Roy</i>		
Country of Citizenship:	U.S.A.	Date:	3-25-02
Residence Address: (street, number, city, state, and/or country)	8415 Fredericksburg Roan, Unit #1006 San Antonio, Texas 78229		
Post Office Address: (if different from above)			

Inventor's Full Name:	Yan		Lavrovsky
Inventor's Signature:			
Country of Citizenship:	Russia	Date:	
Residence Address: (street, number, city, state, and/or country)			
Post Office Address: (if different from above)			

Inventor's Full Name:	Rakesh	K.	Tyagi
Inventor's Signature:			
Country of Citizenship:	India	Date:	
Residence Address: (street, number, city, state, and/or country)			
Post Office Address: (if different from above)			

<b>Inventor's Full Name:</b>	Chung	S.	Song
<b>Inventor's Signature:</b>			
<b>Country of Citizenship:</b>	USA	Date:	
<b>Residence Address:</b> (street, number, city, state, and/or country)	15023 Northern Dancer San Antonio, Texas 78248		
<b>Post Office Address:</b> (if different from above)			

<b>Inventor's Full Name:</b>	Bandana		Chatterjee
<b>Inventor's Signature:</b>			
<b>Country of Citizenship:</b>	USA	Date:	
<b>Residence Address:</b> (street, number, city, state, and/or country)	8415 Fredericksburg Road, #201 San Antonio, Texas 78229		
<b>Post Office Address:</b> (if different from above)			

<b>Inventor's Full Name:</b>	Shuo		Chen
<b>Inventor's Signature:</b>			
<b>Country of Citizenship:</b>	China	Date:	
<b>Residence Address:</b> (street, number, city, state, and/or country)	7458 Louis Pasteur, #504 San Antonio, Texas		
<b>Post Office Address:</b> (if different from above)			

PATENT  
4003.002300

### DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name

I believe I am the original, first and sole inventor (if only one name is listed below) or the below named inventors are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **Estrogen Receptor Site-Specific Ribozymes and Uses Thereof for Estrogen Dependent Tumors**, the Specification of which:



is attached hereto.

was filed on December 4, 2001 as Application Serial No. 10/00420.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim priority benefits under Title 35, United States Code, § 119 and/or § 365 of any foreign application(s) for patent or inventor's certificate, PCT international application(s), and United States provisional application(s), listed below and have also identified below any foreign application for patent or inventor's certificate, PCT international application, or United States provisional application, having a filing date before that of the application on which priority is claimed:

PRIORITY APPLICATION(S)			Priority Claimed
PCT/US00/15243	PCT	June 2, 2000	Yes
(Number)	(Country)	(Date Filed)	Yes/No
60/137,470	USA	June 4, 1999	Yes
(Number)	(Country)	(Date Filed)	Yes/No

I hereby claim the benefit under Title 35, United States Code, § 120 and/or § 36 of any United States application(s) and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56, which becomes available between the filing date of the prior application and the national or PCT international filing date of this application:

N/A

(Application Serial No.)	(Filing Date)	(Status)
--------------------------	---------------	----------

(Application Serial No.)	(Filing Date)	(Status)
--------------------------	---------------	----------

I hereby direct that all correspondence and telephone calls be addressed to Shelley P.M. Fussey, Williams, Morgan & Amersou, P.C., 7676 Hillmont, Suite 250, Houston, Texas 77040, (713) 934-7000.

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Full Name:	Arun	K.	Roy
Inventor's Signature:			
Country of Citizenship:	U.S.A.	Date:	
Residence Address: (street, number, city, state, and/or country)	8415 Fredericksburg Roan, Unit #1006 San Antonio, Texas 78229		
Post Office Address: (if different from above)			

Inventor's Full Name:	Yan		Lavrovsky
Inventor's Signature:	<i>Lavrovsky</i>		
Country of Citizenship:	<del>Russia</del> USA YZ	Date: January 14, 2002	
Residence Address: (street, number, city, state, and/or country)	159 St. Julien Street 1218 Plan. les. Ouates Geneva, Switzerland		
Post Office Address: (if different from above)	office phone: +41 22 706 9714 e-mail: Yan.Lavrovsky@serono.com		

Inventor's Full Name:	Rakesh	K.	Tyagi
Inventor's Signature:			
Country of Citizenship:	India	Date:	
Residence Address: (street, number, city, state, and/or country)			
Post Office Address: (if different from above)			

Inventor's Full Name:	Chung	S.	Song
Inventor's Signature:			
Country of Citizenship:	USA	Date:	
Residence Address: (street, number, city, state, and/or country)	15023 Northern Dancer San Antonio, Texas 78248		
Post Office Address: (if different from above)			

Inventor's Full Name:	Bandana		Chatterjee
Inventor's Signature:			
Country of Citizenship:	USA	Date:	
Residence Address: (street, number, city, state, and/or country)	8415 Fredericksburg Road, #201 San Antonio, Texas 78229		
Post Office Address: (if different from above)			

Inventor's Full Name:	Shuo		Chen
Inventor's Signature:			
Country of Citizenship:	China	Date:	
Residence Address: (street, number, city, state, and/or country)	7458 Louis Pasteur, #504 San Antonio, Texas		
Post Office Address: (if different from above)			

PATENT  
4003.002300

## DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or the below named inventors are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **Estrogen Receptor Site-Specific Ribozymes and Uses Thereof for Estrogen Dependent Tumors**, the Specification of which:

☐ is attached hereto.  
☒ was filed on **December 4, 2001** as Application Serial No. **10/009,420**.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim priority benefits under Title 35, United States Code, § 119 and/or § 365 of any foreign application(s) for patent or inventor's certificate, PCT international application(s), and United States provisional application(s), listed below and have also identified below any foreign application for patent or inventor's certificate, PCT international application, or United States provisional application, having a filing date before that of the application on which priority is claimed:

PRIORITY APPLICATION(S)			Priority Claimed
PCT/US00/15243	PCT	June 2, 2000	Yes
(Number)	(Country)	(Date Filed)	Yes/No
60/137,470	USA	June 4, 1999	Yes
(Number)	(Country)	(Date Filed)	Yes/No

I hereby claim the benefit under Title 35, United States Code, § 120 and/or § 365 of any United States application(s) and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56, which becomes available between the filing date of the prior application and the national or PCT international filing date of this application:

N/A

(Application Serial No.)	(Filing Date)	(Status)
--------------------------	---------------	----------

(Application Serial No.)	(Filing Date)	(Status)
--------------------------	---------------	----------

hereby direct that all correspondence and telephone calls be addressed to Shelley P.M. Fussey, Williams, Morga: Amerson, P.C., 7676 Hillmont, Suite 250, Houston, Texas 77040, (713) 934-7000.

hereby declare that all statements made of my own knowledge are true and that all statements made on informan and belief are believed to be true; and further that these statements were made with the knowledge that willful statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the applican or any patent issued thereon.

Invent's Full Name:	Arun	K.	Roy
Invent's Signature:			
Count of Citizenship:	U.S.A.	Date:	
Residue Address: (street, nber, city, state, and/or nary)	8415 Fredericksburg Roan, Unit #1006 San Antonio, Texas 78229		
Post Oice Address: (if differ from above)			

Invent's Full Name:	Yan		Lavrovsky
Invent's Signature:			
Count of Citizenship:	Russia	Date:	
Residue Address: (street, nber, city, state, and/or nary)			
Post Oice Address: (if differ from above)			

Invent's Full Name:	Rakesh	K.	Tyagi
Invent's Signature:	Rakesh Kumar Tyagi		
Count of Citizenship:	India	Date: 8th Feb. 2002	
Residue Address: (street, nber, city, state, and/or nary)	T-109, OLD TRANSIT HOUSE, DAKSHINAPURAM, JAWAHARLAL NEHRU UNIVERSITY NEW DELHI - 110067, INDIA		
Post Oice Address: (if differ from above)			



Inventor's Full Name:	Chung	S.	Song
Inventor's Signature:			
Country of Citizenship:	USA	Date:	
Residence Address: (street, number, city, state, and/or country)	15023 Northern Dancer San Antonio, Texas 78248		
Post Office Address: (if different from above)			

Inventor's Full Name:	Bandana		Chatterjee
Inventor's Signature:			
Country of Citizenship:	USA	Date:	
Residence Address: (street, number, city, state, and/or country)	8415 Fredericksburg Road, #201 San Antonio, Texas 78229		
Post Office Address: (if different from above)			

Inventor's Full Name:	Shuo		Chen
Inventor's Signature:			
Country of Citizenship:	China	Date:	
Residence Address: (street, number, city, state, and/or country)	7458 Louis Pasteur, #504 San Antonio, Texas		
Post Office Address: (if different from above)			

PATENT  
4003.002300

## DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or the below named inventors are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **Estrogen Receptor Site-Specific Ribozymes and Uses Thereof for Estrogen Dependent Tumors**, the Specification of which:



is attached hereto.

was filed on **December 4, 2001** as Application Serial No. **10/009,420**.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim priority benefits under Title 35, United States Code, § 119 and/or § 365 of any foreign application(s) for patent or inventor's certificate, PCT international application(s), and United States provisional application(s), listed below and have also identified below any foreign application for patent or inventor's certificate, PCT international application, or United States provisional application, having a filing date before that of the application on which priority is claimed:

PRIORITY APPLICATION(S)			Priority Claimed
PCT/US00/15243	PCT	June 2, 2000	Yes
(Number)	(Country)	(Date Filed)	Yes/No
60/137,470	USA	June 4, 1999	Yes
(Number)	(Country)	(Date Filed)	Yes/No

I hereby claim the benefit under Title 35, United States Code, § 120 and/or § 365 of any United States application(s) and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56, which becomes available between the filing date of the prior application and the national or PCT international filing date of this application:

N/A

(Application Serial No.)	(Filing Date)	(Status)
(Application Serial No.)	(Filing Date)	(Status)

(Application Serial No.)	(Filing Date)	(Status)
--------------------------	---------------	----------

I hereby direct that all correspondence and telephone calls be addressed to Shelley P.M. Fussey, Williams, Morgan & Amerson, P.C., 7676 Hillmont, Suite 250, Houston, Texas 77040, (713) 934-7000.

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

<b>Inventor's Full Name:</b>	Arun	K.	Roy
<b>Inventor's Signature:</b>			
<b>Country of Citizenship:</b>	U.S.A.	Date:	
<b>Residence Address:</b> (street, number, city, state, and/or country)	8415 Fredericksburg Roan, Unit #1006 San Antonio, Texas 78229		
<b>Post Office Address:</b> (if different from above)			

<b>Inventor's Full Name:</b>	Yan		Lavrovsky
<b>Inventor's Signature:</b>			
<b>Country of Citizenship:</b>	Russia	Date:	
<b>Residence Address:</b> (street, number, city, state, and/or country)			
<b>Post Office Address:</b> (if different from above)			

<b>Inventor's Full Name:</b>	Rakesh	K.	Tyagi
<b>Inventor's Signature:</b>			
<b>Country of Citizenship:</b>	India	Date:	
<b>Residence Address:</b> (street, number, city, state, and/or country)			
<b>Post Office Address:</b> (if different from above)			

Inventor's Full Name:	Chung	S.	Song
Inventor's Signature:	<i>Chung Song</i>		
Country of Citizenship:	USA	Date:	3/28/02
Residence Address: (street, number, city, state, and/or country)	15023 Northern Dancer San Antonio, Texas 78248		
Post Office Address: (if different from above)			

Inventor's Full Name:	Bandana		Chatterjee
Inventor's Signature:	<i>Bandana Chatterjee</i> 3/28/02		
Country of Citizenship:	USA	Date:	3/28/02
Residence Address: (street, number, city, state, and/or country)	8415 Fredericksburg Road, #201 San Antonio, Texas 78229		
Post Office Address: (if different from above)			

Inventor's Full Name:	Shuo		Chen
Inventor's Signature:	<i>Shuo Chen</i>		
Country of Citizenship:	China	Date:	3-28-02
Residence Address: (street, number, city, state, and/or country)	7458 Louis Pasteur, #504 San Antonio, Texas		
Post Office Address: (if different from above)			